

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nobutoshi AOKI

Title: SEMICONDUCTOR INTEGRATED CIRCUIT
INCLUDING INSULATED GATE FIELD EFFECT
TRANSISTOR AND METHOD OF
MANUFACTURING THE SAME

Appl. No.: Unassigned

Filing Date: November 16, 1999

Examiner: Unassigned

Art Unit: Unassigned

Am. Art
#2
3/21/00
Bill Mune
JC542 U.S. PTO
09/440928
11/16/99

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

**CONCISE EXPLANATION OF
RELEVANCE OF EACH DOCUMENT**

Document A2 shows a simple structure of MOSFET which contains a polycrystalline $\text{Si}_{1-x}\text{Ge}_x$ layer as a gate electrode.

Document A3 is cited in the present specification. This reference shows a polycrystalline gate electrode which contains Ge icons.


English translations of the Japanese documents are not readily available, however, English language abstracts are provided herewith. The absence of such translations does not relieve the PTO from its duty to consider the submitted documents (37 CFR §1.97(b) and MPEP §609).

The listed documents are being submitted in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date.

Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialled copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

November 16, 1999
Date


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